UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	¥	
T	: :	Chanton 11 Coso No
In re	•	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	08-13555 (SCC)
, ,	:	(Jointly Administered)
Debtors.	:	
	:	
	Х	Ref. Docket No. 42151

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)	
)	SS.
COUNTY OF NEW YORK)	

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

- 1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On January 27, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as <u>Exhibit A</u>, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached <u>Exhibit B</u>.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
3rd day of February, 2014
/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

08-13555-mg Doc 42431 Filed 02/03/14 Entered 02/03/14 18:49:57 Main Document Pg 3 of 5

UNITED	ST	ΑT	ES	BANK	RUF	TCY	COURT
Souther	n	Di	str	ict	of.	New	York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor:

BANK J. SAFRA SARASIN LTD.

BAER & KARRER LTD., ATTN. PETER HSU

BRANDSCHENKESTRASSE 90 ZURICH CH-8027 SWITZERLAND

Additional:

BANK J. SAFRA SARASIN LTD.

CLEARLY GOTTLIEB STEEN & HAMILTON LLP

ATTN: ANDRE A. BERNSTEIN, ESQ.

12, RUE DE TILSITT PARIS 75008 FRANCE

Transferee:

CREDIT SUISSE AG, HONG KONG BRANCH

ONE RAFFLES LINK # 05-02, SOUTH LOBBY

SINGAPORE 039393 SINGAPORE

Your transfer of claim # 60402 is defective for the reason(s) checked below:

Other

The transfer amount exceeds the amount held.

Docket Number 42151

Date 01/23/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 27, 2014.

EXHIBIT B

H	08-1355	55-mg	Doc 42431	Filed 02/03/14 Entered 02/03/14 18:49:57 Pg 5 of 5	Main Document
PAGE:					
LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING	Address BAER & KARRER LTD., ATTN. PETER HSU BRANDSCHENKESTRASSE 90 ZURICH CH-8027 SWITZERLAND CLEARLY GOTTLIEB STEEN & HAMILTON LLP ATTN: ANDRE A. BERNSTEIN, ESQ. 12, RUE DE TILSITT PARIS 75008 FRANCE ONE RAFFLES LINK # 05-02, SOUTH LOBBY SINGAPORE 039393 SINGAPORE	n			
TIME: 13:00:52 DATE: 01/27/14	Name BANK J. SAFRA SARASIN LTD. BANK J. SAFRA SARASIN LTD. CREDIT SUISSE AG, HONG KONG BRANCH	Total Number of Records Printed			

EPIQ BANKRUPICY SOLUTIONS, LLC